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Attorney Docket No.: <u>KOIKE-01500</u>

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2881

162 N. Wolfe Road Sunnyvale, CA 94086

Customer No.: 28960

(408) 530-9700

Examiner: not yet available

TRANSMITTAL LETTER

In re Application of:

Motonobu Kourogi et al.

Serial No.: 10/579,262

Filed: May 10, 2006

For:

**OPTICAL FREQUENCY COMB** 

GENERATOR AND OPTICAL

**MODULATOR** 

MS: Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e)(2) for the Supplemental Information Disclosure Statement, and Form PTO-1449, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. <u>08-1275</u>. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: April 7, 2008

Joseph V.

Jonathan O. Owens Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR§ 1.8(a))

I bereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 4708 By:

- 1 -



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Group Art Unit: 2881 Motonobu Kourogi et al. Examiner: not yet assigned Serial No.: 10/579,262 **CERTIFICATION FOR** Filed: May 10, 2006 SUPPLEMENTAL INFORMATION **DISCLOSURE STATEMENT UNDER 37** For: **OPTICAL FREQUENCY COMB** CFR § 1.97(e)(2) GENERATOR AND OPTICAL **MODULATOR** 162 North Wolfe Road Sunnyvale, CA 94086 (408) 530-9700 Customer No.: 28960

MS: Amendment Commissioner for Patents P.O. Box 1450

Alaman Jula 37 A 22

Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

#### Certification

I, the person signing below, hereby certify that the printed publication contained in the enclosed supplemental information disclosure statement was cited within an Official Action mailed on January 10, 2008. Accordingly, I hereby certify that the references contained within this supplemental information disclosure statement were cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, the references contained within this supplemental information disclosure statement were known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).

Attorney Docket No.: KOIKE-01500

# **Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: Apr// 7, 2008

Reg. No.:37,902

Attorneys for Applicants

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22313-1450

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Motonobu Kourogi et al.

Serial No.: 10/579,262

Filed: May 10, 2006

For: OPTICAL FREQUENCY COMB GENERATOR AND OPTICAL MODULATOR

MODULATOR

Group Art Unit: 2881

Examiner: not yet available

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT

162 N. Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

MS: AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The citations listed below may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Customer No.: 28960

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No.: 3,676,796;
- U.S. Patent No.: 4,861,136;
- U.S. Patent No.: 4,893,353;
- U.S. Patent No.: 4,942,588;
- U.S. Patent No.: 5,274,650;
- U.S. Patent No.: 5,291,568;
- U.S. Patent No.: 5,377,291;
- U.S. Patent No.: 5,432,807;
- U.S. Patent No.: 5,835,522;
- U.S. Patent No.: 6,072,197;
- U.S. Patent No.: 6,201,638 B1;

- U.S. Patent No.: 6,473,218 B1;
- U.S. Patent No.: 6,501,868 B1;
- U.S. Patent No.: 6,671,297 B2;
- U.S. Patent No.: 6,795,481 B2;
- U.S. Patent No.: 6,839,365 B1;
- U.S. Patent No.: 6,845,121 B2;
- U.S. Patent No.: 6,871,025 B2;
- U.S. Patent No.: 7,127,145 B2;
- U.S. Patent No.: 7,182,877 B2;
- U.S. Patent No.: 7,190,872 B2;
- U.S. Patent No.: 7,239,442 B2;
- U.S. Patent No.: 7,260,279 B2;
- U.S. Patent No.: 7,301,972 B2;
- U.S. Patent No.: 7,315,697 B2;
- U.S. Publication No.: 2001/0055327 A1;
- U.S. Publication No.: 2002/0196509 A1;
- U.S. Publication No.: 2003/0072335 A1;
- U.S. Publication No.: 2003/0219046 A1;
- U.S. Publication No.: 2004/0017833 A1;
- U.S. Publication No.: 2004/0182817 A1;
- U.S. Publication No.: 2004/0218880 A1;
- U.S. Publication No.: 2004/0233950 A1;
- U.S. Publication No.: 2004/0240781 A1;
- U.S. Publication No.: 2005/0074200 A1;
- U.S. Publication No.: 2005/0123306 A1;
- U.S. Publication No.: 2005/0128566 A1;
- U.S. Publication No.: 2005/0195879 A1; and
- U.S. Publication No.: 2005/0254534 A1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4pr/7,2008

Jonathan O. Owens Reg. No.: 37,902

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP

Date: 17/08 By